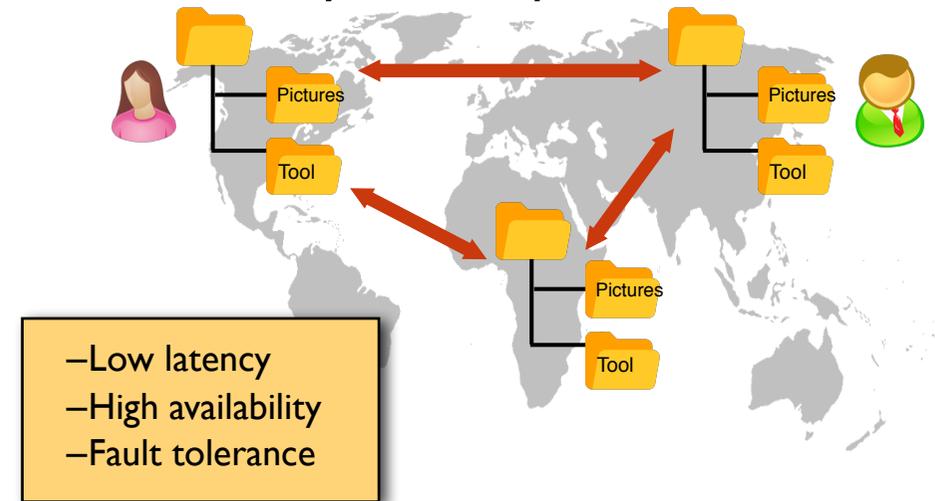


Co-design and Verification of an Available File System

Mahsa Najafzadeh, Marc Shapiro, and Patrick Eugster



File System Replication



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POSIX File Systems vs. Distribution

POSIX:

- Assumes operations occur in a **total order**
- Requires a **synchronous, strong consistency** model
- Synchronisation is **costly** and **not available** under partition
- In practice, concurrency conflicts are **rare**

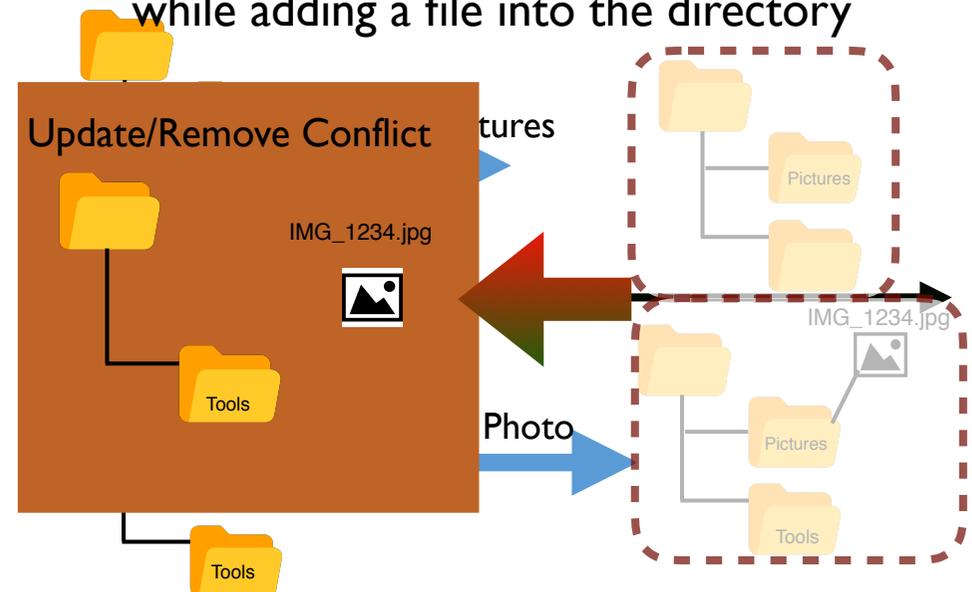
Distribution:

- **No synchronisation**: processes an update locally, propagates effects to other replicas later.
- **Weakens consistency** and causes conflicts

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Conflict Example= removing a directory while adding a file into the directory



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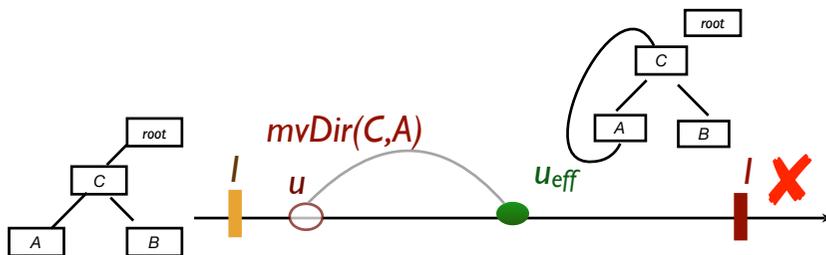
Safety

- Convergent: do replicas that delivered the same updates have the same state?
- Is the invariant preserved?
 - Sequential: single operation in isolation maintains the invariant
 - Concurrent execution maintains the invariant

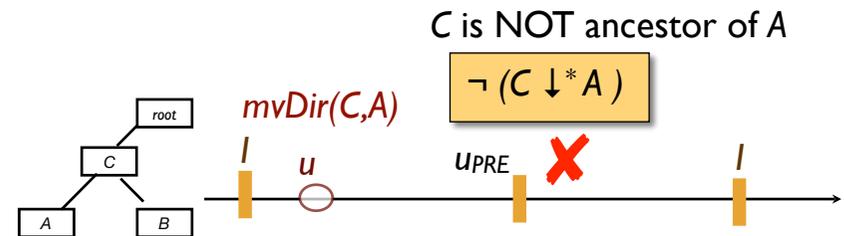
Tree Invariant

- Has a **fixed root** node
- **Root** is an **ancestor** of every node in the tree (reachability)
- Every node, which has a name has **exactly one** parent, except the **root**
- **No cycle** in the directory structure
- **Unique** names within a directory

Example= sequential move operation fails

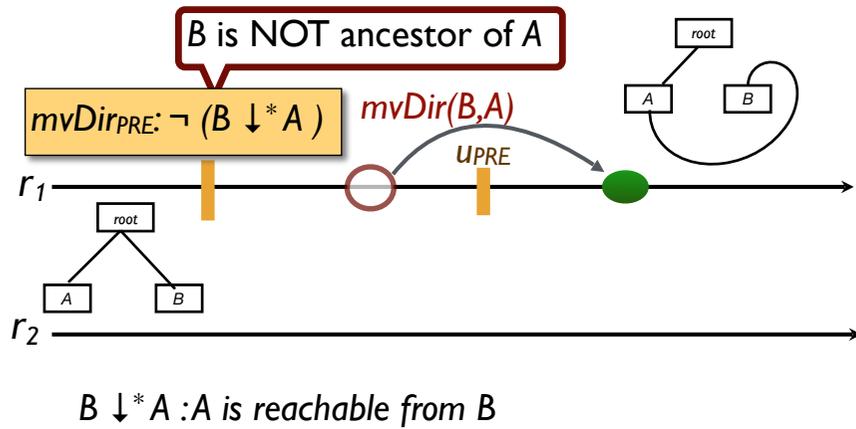


Example= do not move directory under self

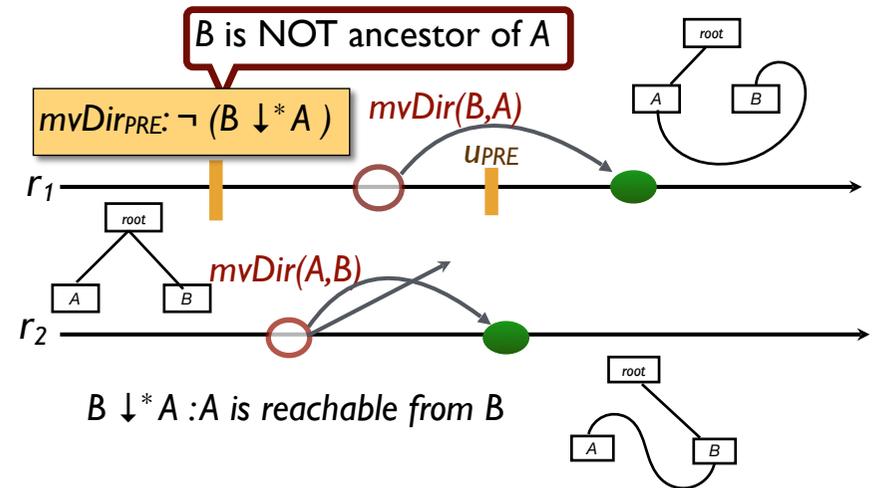


$C \downarrow^* A : C$ is reachable from A

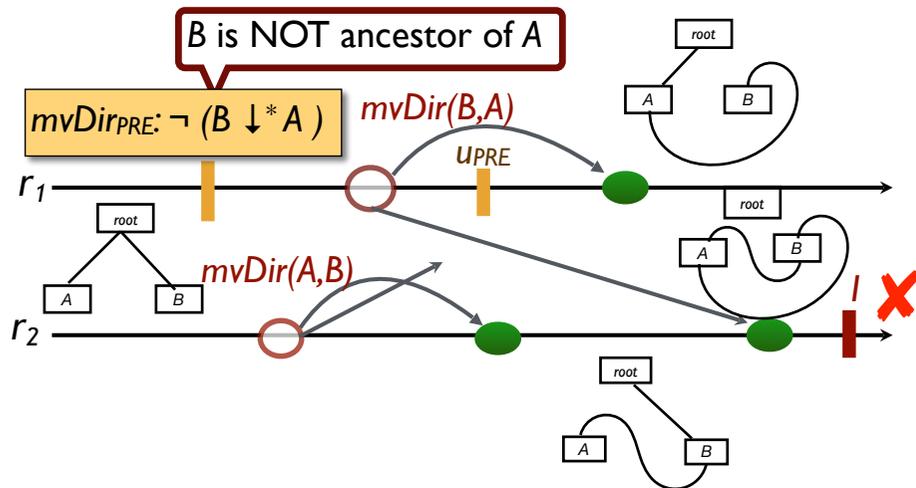
Example= concurrent moves fails



Example= concurrent moves fails



Example= concurrent moves fails



Concurrency Control

Tokens \approx concurrency control abstractions
 Tokens = $\{\tau, \dots\}$

Conflict relation $\bowtie \subseteq \text{Tokens} \times \text{Tokens}$

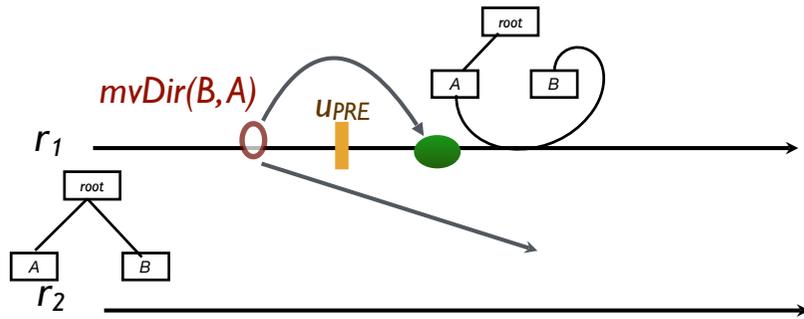
Example - mutual exclusion tokens:

Tokens = $\{\tau\}; \tau \bowtie \tau$

An operation's generator may acquire a set of tokens

Operations associated with conflicting tokens cannot be concurrent

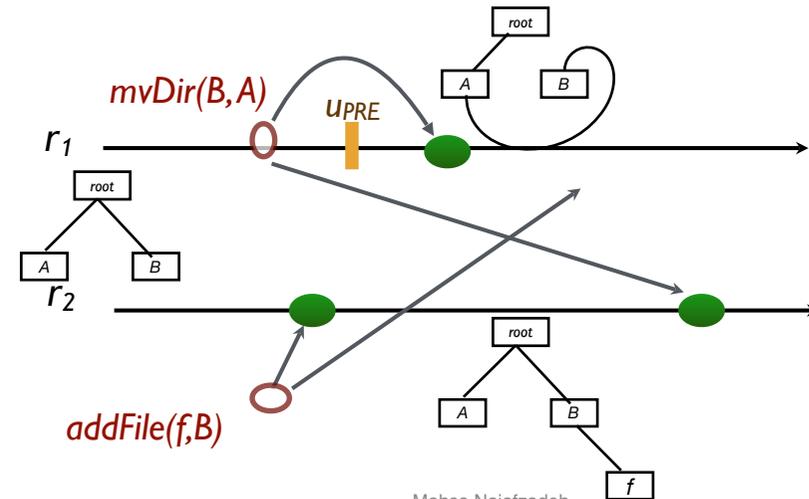
Example= moving a directory while updating its content is safe



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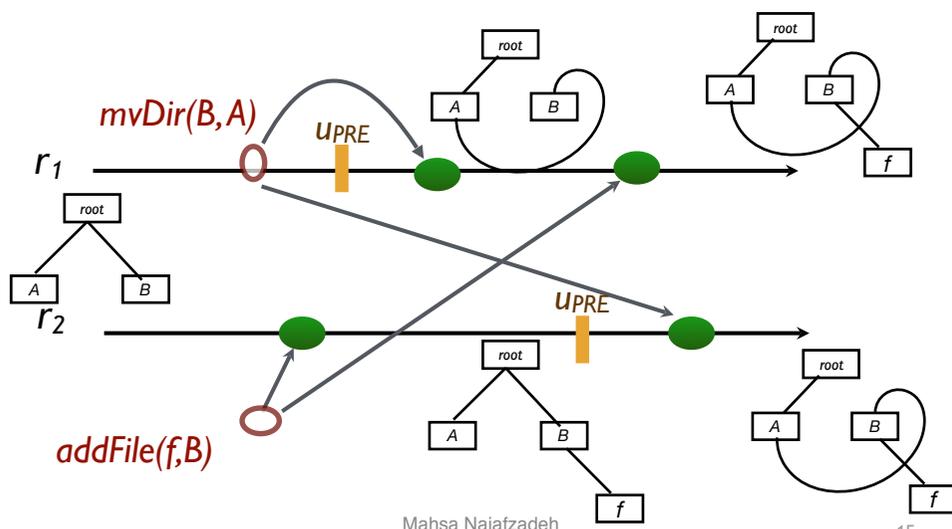
Example= moving a directory while updating its content is ok



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Example= moving a directory while updating its content is ok



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When is Synchronization Necessary?

- **CAP** theorem: Either (Strong) **C**onsistency or **A**vailability, not both, when **P**artitions occur
- This is a design trade-off

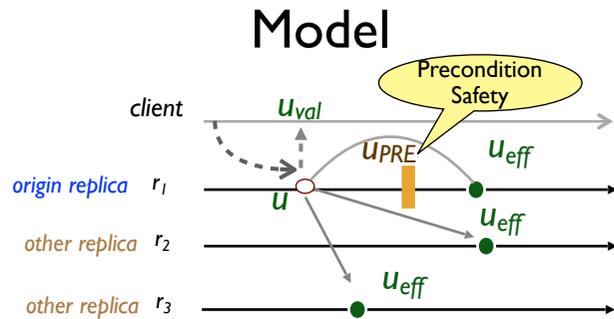
Our approach:

- Synchronize (**CP**) only operations where strictly necessary for safety
- Other operations are asynchronous (**AP**)

Safety = convergent + invariants

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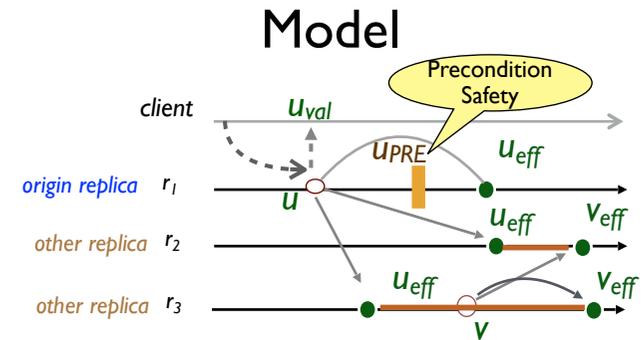
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Generator (@origin) reads state from one copy and maps operation u to:

Return value: $u_{val} \in \text{State} \rightarrow \text{Value}$

Effects: $u_{eff} \in \text{State} \rightarrow (\text{State} \rightarrow \text{State})$

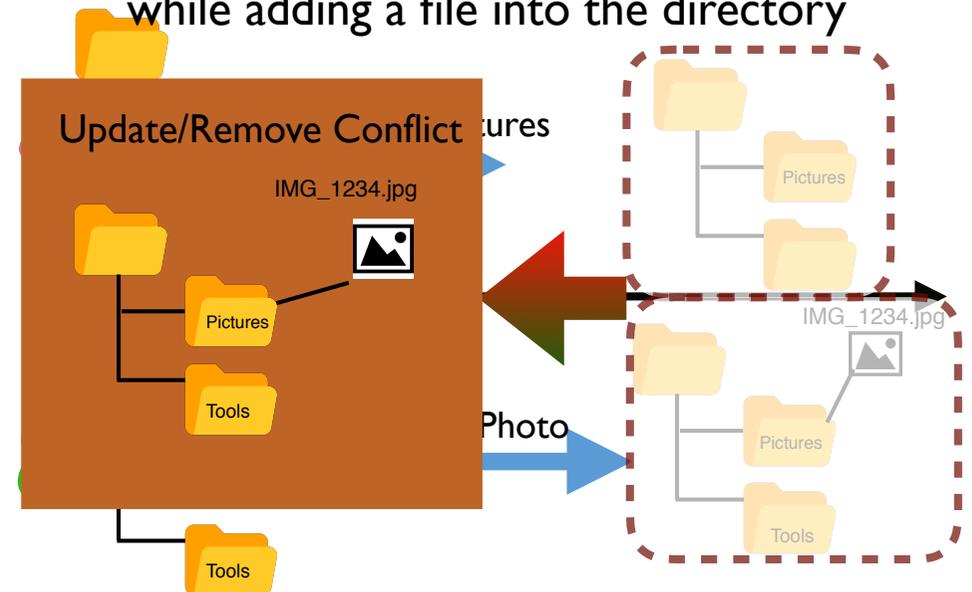


Deliver(@all replicas): **causally dependent** messages delivered in order

A Mostly-Available, Convergent and Correct File System Design

- Allows common file system operations can run without synchronization **except for moves**
- Maintains **the tree invariant**
- Guarantees **convergence** using replicated data types [Shapiro+ 2011]
 - Name conflicts:
 - Merge directories
 - Rename files
 - Update/Remove conflicts: add-wins directory

Add-wins directory= removing a directory while adding a file into the directory



CISE Analysis: Proves Application is Correct

- Rely-Guarantee reasoning for a causally-consistent system with only polynomial complexity
- Consists of three analysis rules:

Effector Safety:

Every effect in isolation execution maintains the invariant I (sequential safety)

Commutativity:

Concurrent operations commute (convergence)

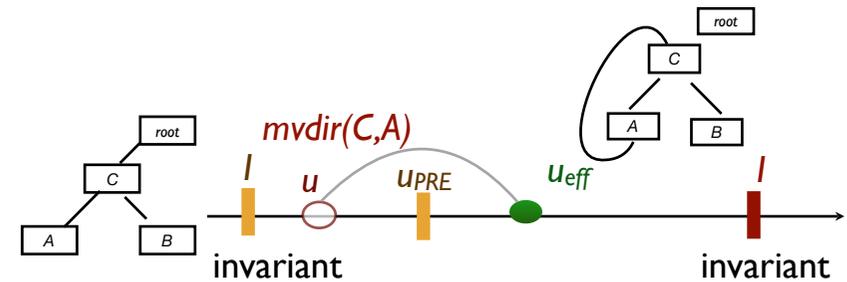
Stability:

Preconditions are stable under concurrency (concurrent safety)

If satisfied: the invariant I is guaranteed in every possible execution

[Gotsman et al. POPL 2016 'Cause I'm Strong Enough: Reasoning about Consistency Choices in Distributed Systems]

Effector Safety: Example= move requires precondition

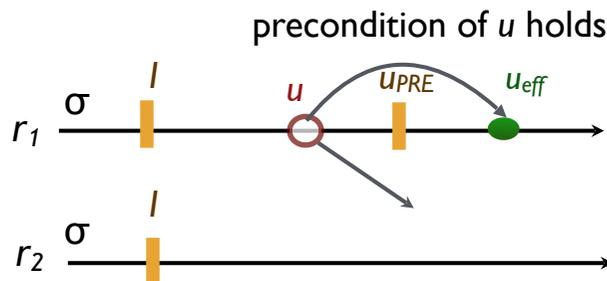


- do not move directory under self

Stability Rule:

precondition is stable under concurrent effect

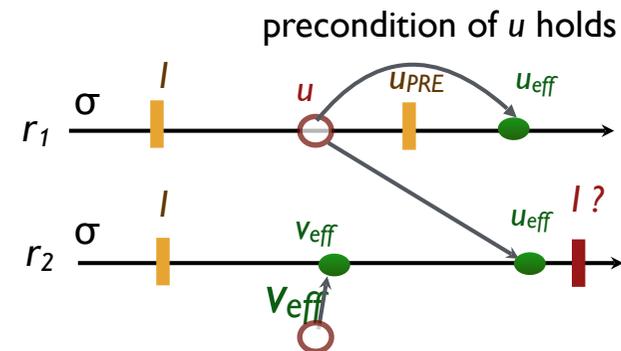
1. Effector Safety: u_{eff} preserves I when executed in any state satisfying $UPRE$



Stability Rule:

precondition is stable under concurrent effect

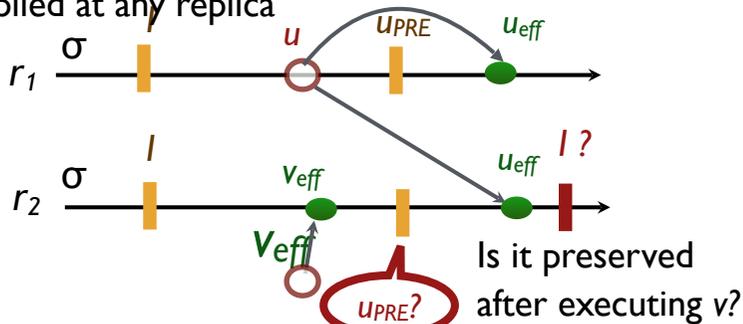
1. Effector Safety: u_{eff} preserves I when executed in any state satisfying $UPRE$



Stability Rule:

precondition is stable under concurrent effect

1. **Effector Safety:** u_{eff} preserves I when executed in any state satisfying $UPRE$
2. **Precondition Stability:** $UPRE$ will hold when u_{eff} is applied at any replica



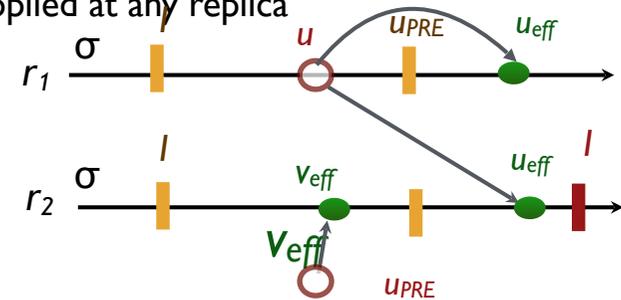
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Stability Rule:

precondition is stable under concurrent effect

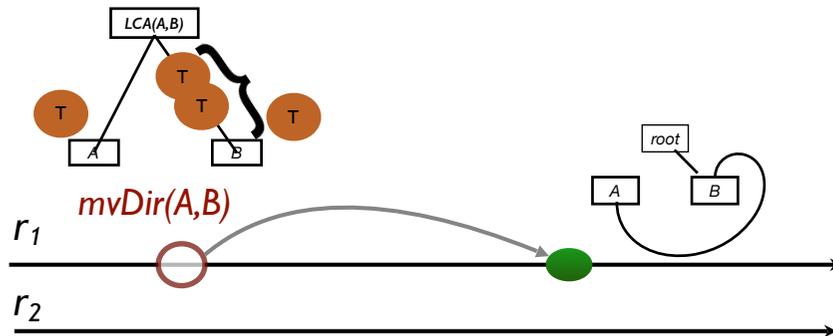
1. **Effector Safety:** u_{eff} preserves I when executed in any state satisfying $UPRE$
2. **Precondition Stability:** $UPRE$ will hold when u_{eff} is applied at any replica



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Necessary and Sufficient Concurrency Controls for Move

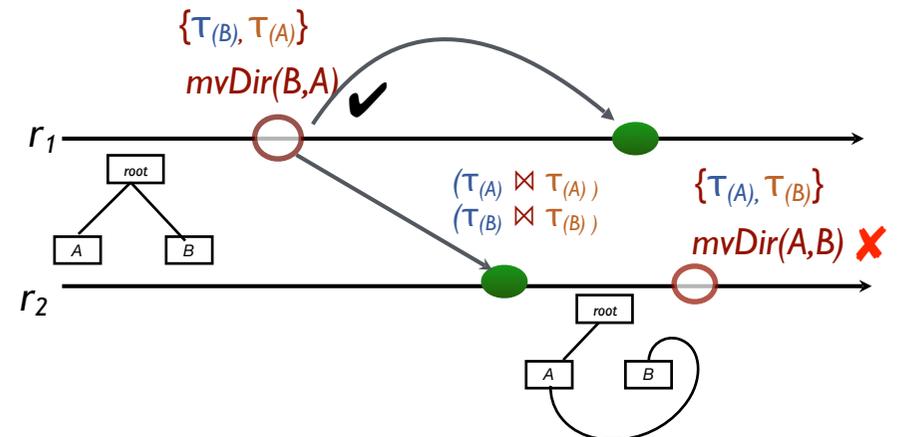


- Add tokens, avoid $mvDir \parallel mvDir$
- A mutually exclusive token for each directory $d \in Dir$: $(\tau_{(d)} \bowtie \tau_{(d)})$

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Example: avoid conflicting moves



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Verification Results

Applications	#OP	#Tokens	#Invariants	Anomaly	Average Time(ms)
Sequential	7	7	1	NO	278
Concurrent	7	0	1	safety violation	1297
Fully-Asynchronous	7	0	1	duplication	2350
Mostly-Asynchronous	7	2	1	NO	1570

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Conclusion

- A rigorous approach for modeling file system behavior for both centralized/synchronous and replicated asynchronous semantics
- Common operations except move to run without concurrency controls
- A hierarchical least-common ancestor concurrency control mechanism is necessary and sufficient for move operations

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Future Work

- Translate the move concurrency controls into an efficient implementation
- Integrate hard links, devices, and mounts into model
- Reason about the file system behavior in the presence of failures

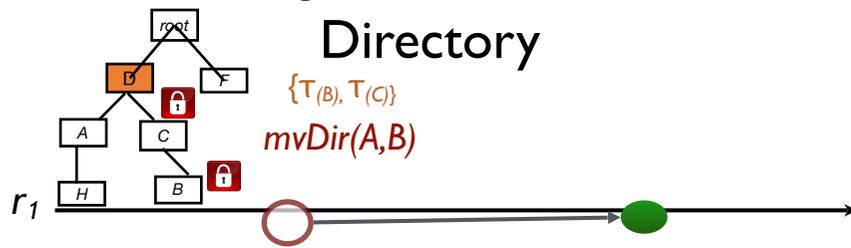
Backup Slides

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Q/A

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Removing Token Over Source Directory

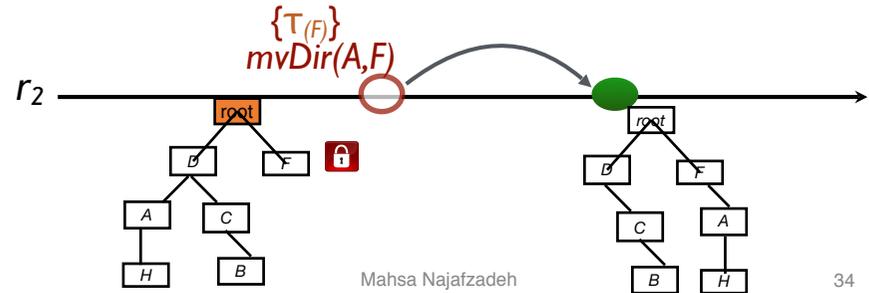
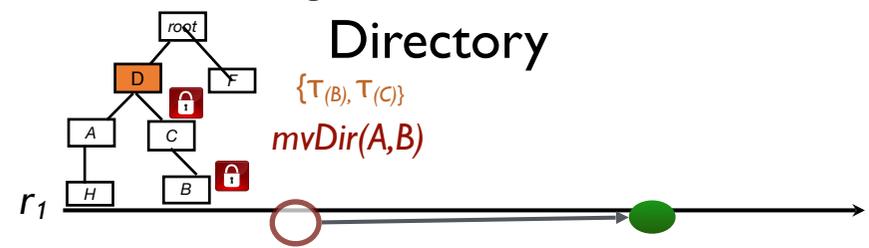


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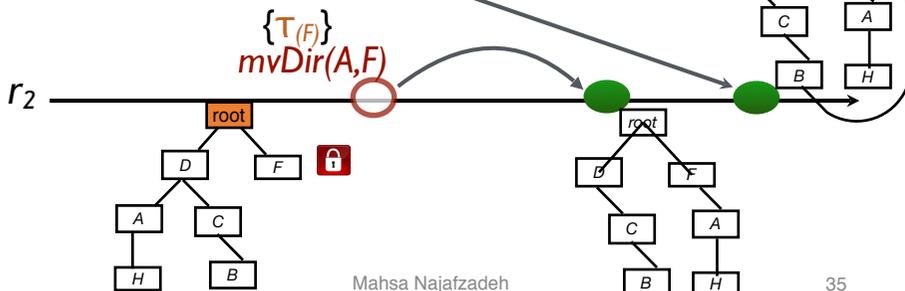
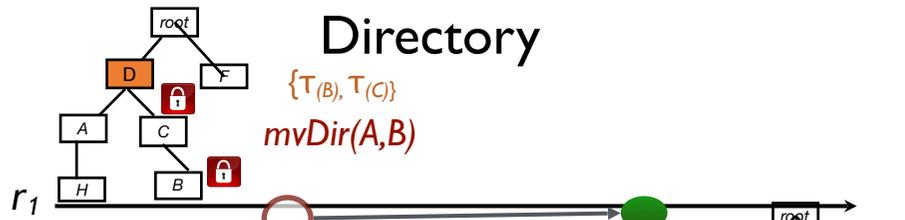
Removing Token Over Source Directory



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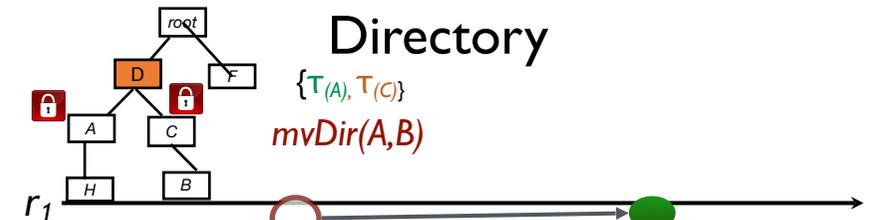
Removing Token Over Source Directory



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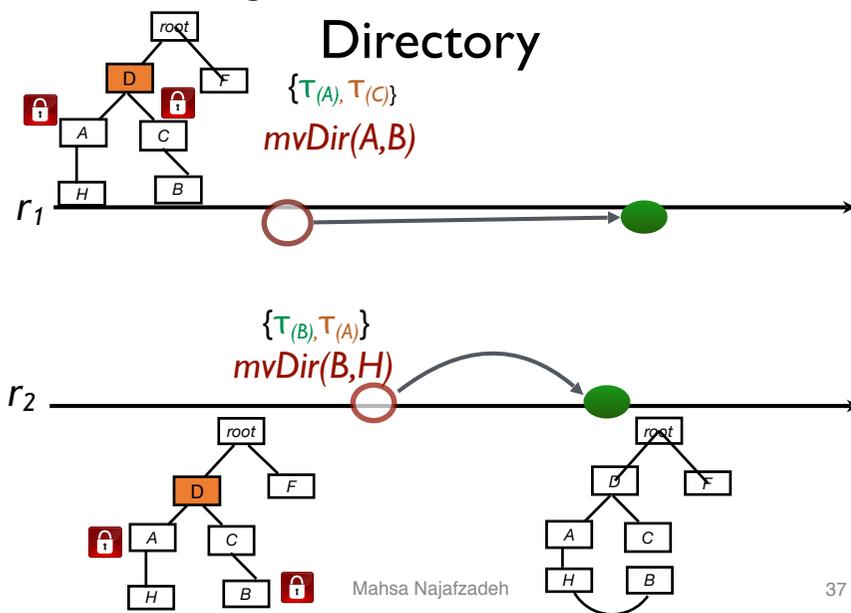
Removing Token Over Destination Directory



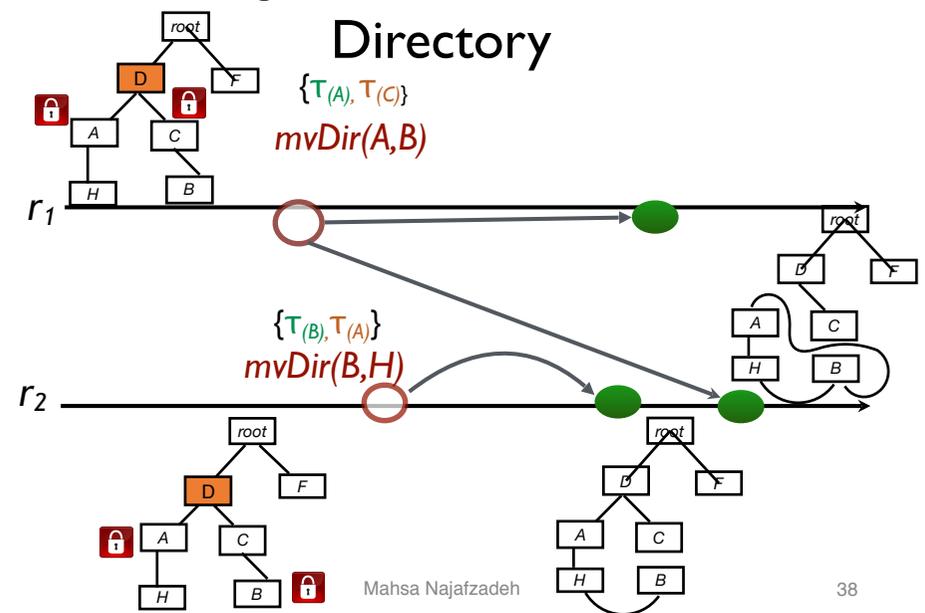
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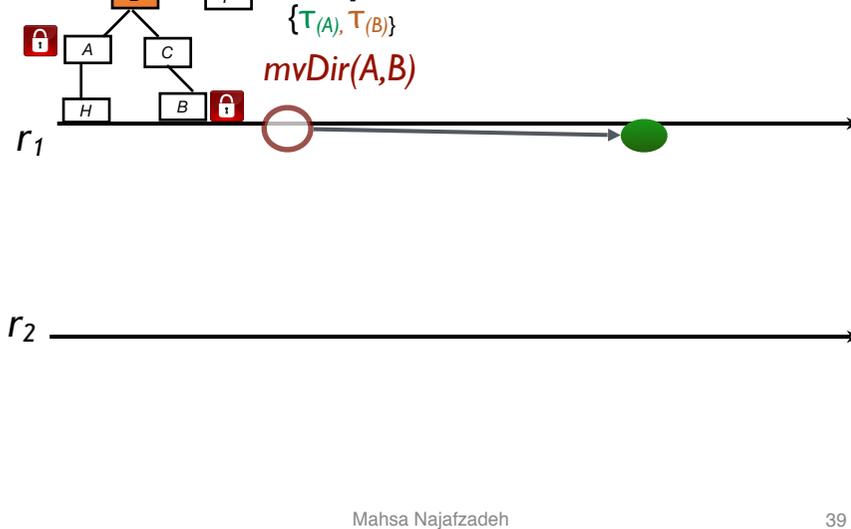
Removing Token Over Destination



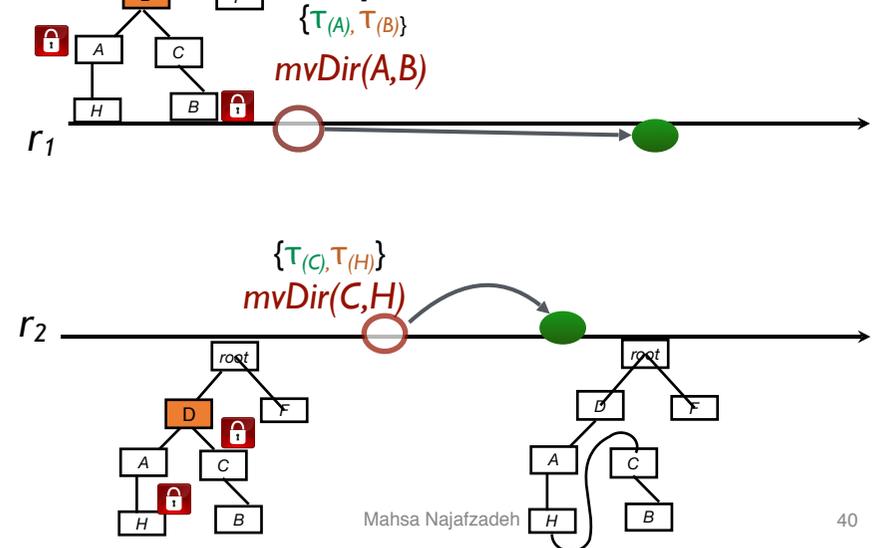
Removing Token Over Destination



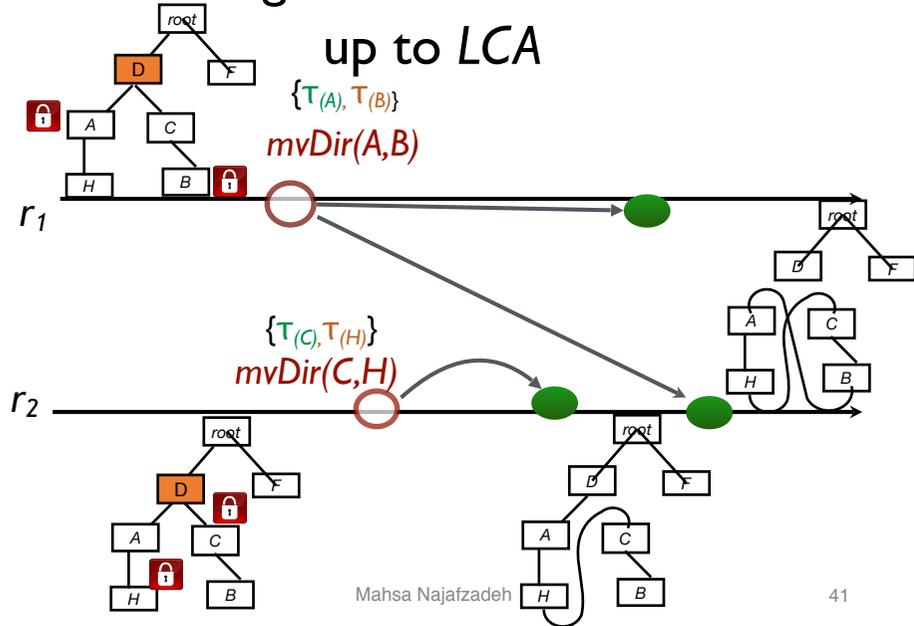
Removing Token Over Ancestors up to LCA



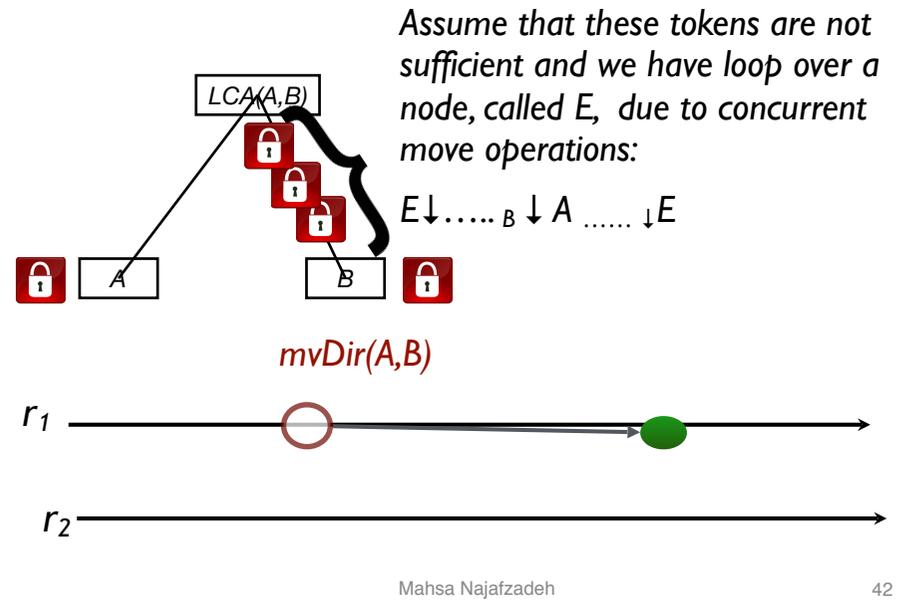
Removing Token Over Ancestors up to LCA



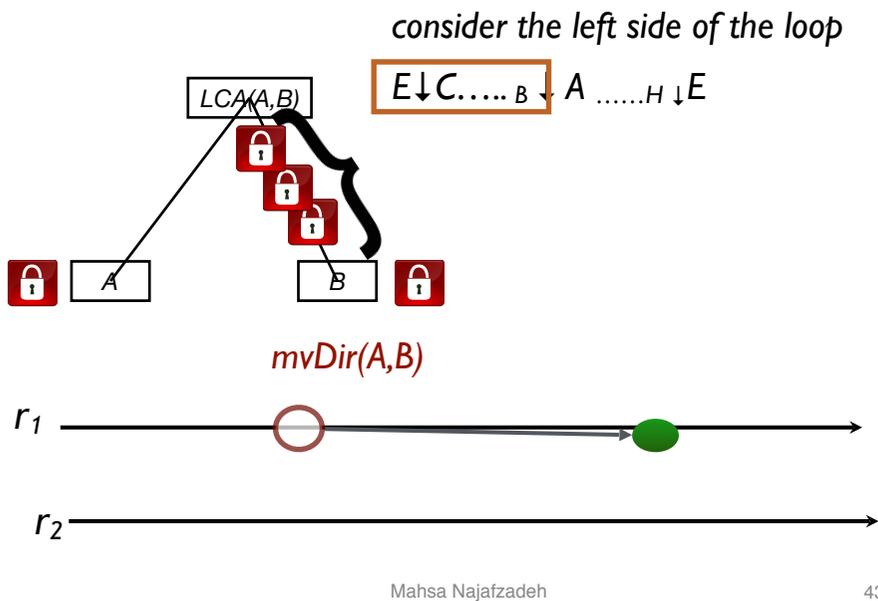
Removing Token Over Ancestors



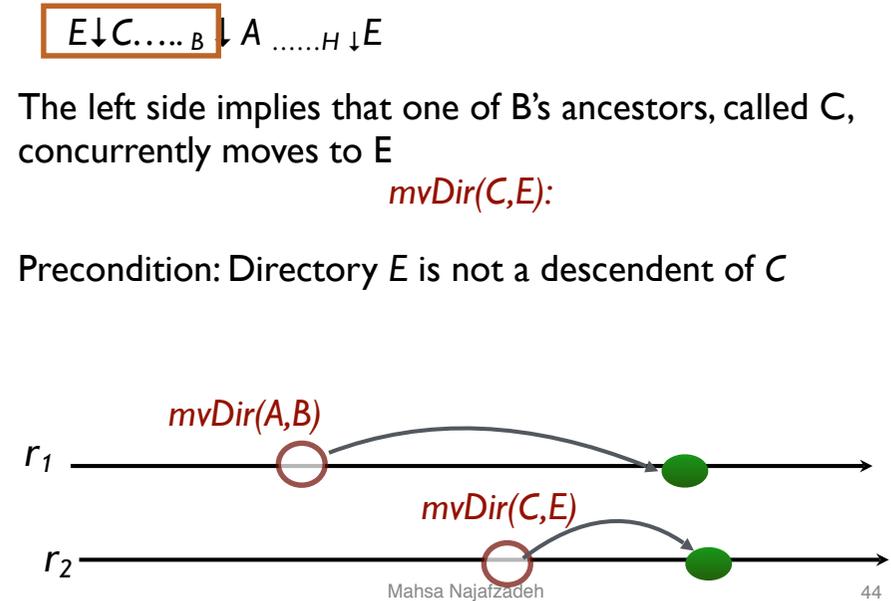
Intuition For Move Tokens



Intuition For Move Tokens



Intuition For Move Tokens



Intuition For Move Tokens

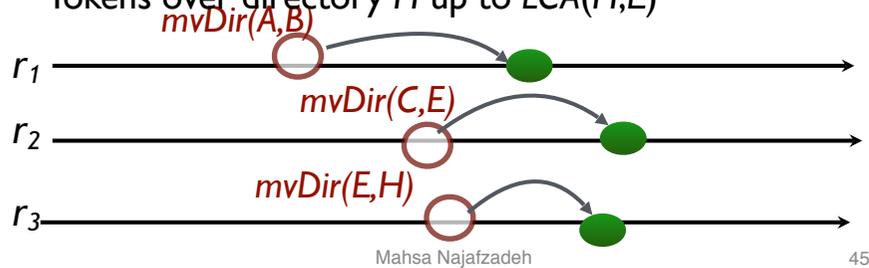
$E \downarrow C \dots B \downarrow A \dots H \downarrow E$

Now, consider the right side of loop

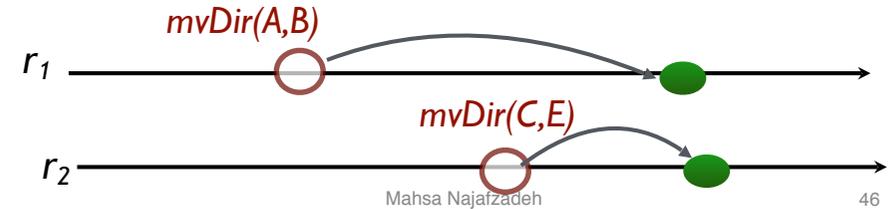
The right side implies that E concurrently moves to one of A's descendants, called H

$mvDir(E,H)$

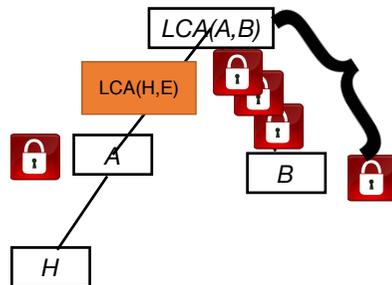
Tokens over directory H up to $LCA(H,E)$



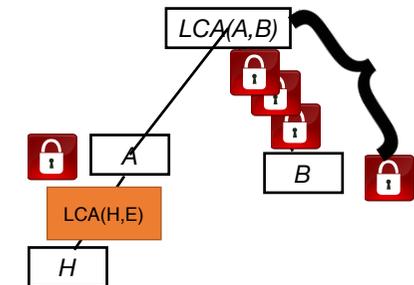
where is $LCA(H,E)$?



$E \downarrow C \dots B \downarrow A \dots H \downarrow E$



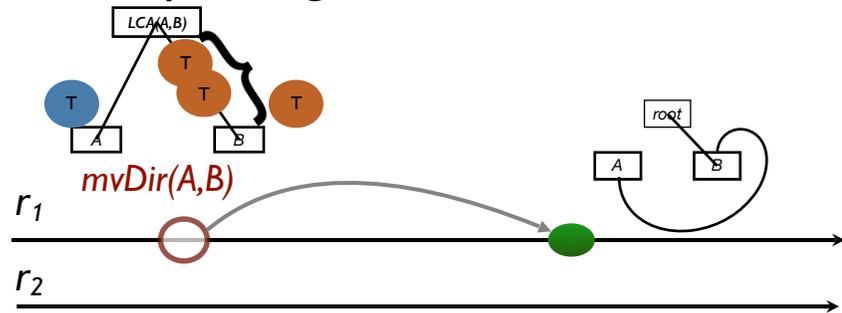
$E \downarrow C \dots B \downarrow A \dots H \downarrow E$



1) $LCA(H,E)$ is located between A and $LCA(A,B)$
 in this case moving E to H requires token over A that conflicts with tokens for moving A to B

2) $LCA(H,E)$ is located under A:
 E is concurrently moved under A which is not possible because this move operation needs to acquire tokens conflicting with $mvDir(A,B)$

Exploiting More Parallelism



- Concurrent moves to the same destination directory
- Conflicting tokens for each directory $A \in Dir$:
source token $T_{s(A)}$ and *destination token* $T_{d(A)}$

$$(T_{s(A)} \bowtie T_{d(A)})$$